



D-3077

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**  
**PATENT**

In re application of:

**PFLUEGER et al.**

) Group Art Unit: 3743

Serial No. 10/624,915

) Examiner: N/A

Filed: July 22, 2003

)

For: APPARATUS AND METHODS FOR  
TREATING SLEEP APNEA

)

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450, on or before

*Frank J. Uxa*  
Title \_\_\_\_\_  
Date *4/9/04*

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Applicant wishes to call to the attention of the Examiner the documents cited on the accompanying Form PTO-1449. No concession is made that these documents are prior art, and applicant expressly reserves the right to antedate the documents as may be appropriate. This Information Disclosure Statement is being filed before the first office action has been received from the Patent and Trademark Office in the above-identified application.

Respectfully submitted,

*Frank J. Uxa*  
Frank J. Uxa  
Attorney for Applicant  
Reg. No. 25,612  
4 Venture, Suite 300  
Irvine, CA 92618  
(949) 450-1750  
Facsimile (949) 450-1764

FJUxa/ac



Sheet 1 of 10

Form 170-1449

**INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION**  
(Use several sheets if necessary)

Docket Number: D-3077

Application Number: 10/624,915

Applicant: Pflueger

Filing Date: 07/22/03

Group Art Unit: 3743

**U. S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER								DATE	NAME	CLASS	SUBCLASS	RULING DATE IF APPROPRIATE
D	4	5	8	6	7	9		06/11/02	Thompson et al.				
3	9	9	3	0	7	8		11/23/76	Bergentz et al.				
4	1	9	8	9	6	7		04/22/80	Dror				
4	3	0	4	2	2	7		12/08/81	Samelson				
4	5	7	2	1	8	6		02/25/86	Gould et al.				
4	5	8	0	5	6	8		04/08/86	Gianturco				
4	6	6	5	9	1	8		05/19/87	Garza et al.				
4	6	7	6	2	4	0		06/30/87	Gardy				
4	7	3	2	1	5	2		03/22/88	Wallsten et al.				
4	7	9	4	9	2	4		01/03/89	Eliachar				
4	8	2	1	7	1	5		04/18/89	Downing				

**FOREIGN PATENT DOCUMENTS**

	DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION		
												YES	NO	

**OTHER DOCUMENTS**


EXAMINER	DATE CONSIDERED

**EXAMINER:** Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered.  
Include copy of this form with next communication to the applicant.

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EXAMINER INITIAL	DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	RULING DATE IF APPROPRIATE	
	4	8	4	8	3	4	3	07/18/89	Wallsten et al.				
	4	8	7	5	4	8	0	10/24/89	Imbert				
	4	9	5	0	2	2	7	08/21/90	Savin et al.				
	5	0	2	6	3	7	7	06/25/91	Burton et al.				
	5	0	3	7	4	2	7	08/06/91	Harada et al.				
	5	0	4	8	5	1	8	09/17/91	Eliachar et al.				
	5	0	6	1	2	7	5	10/29/91	Wallsten et al.				
	5	0	6	4	4	3	5	11/12/91	Porter				
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	5	0	7	8	7	2	0	01/07/92	Burton et al.				
	5	1	1	7	8	1	6	06/02/92	Shapiro				
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	5	1	4	7	3	7	0	09/15/92	McNamara et al.				
	5	2	0	1	7	5	7	04/13/93	Heyn et al.				
	5	3	0	6	2	9	4	04/26/94	Winston et al.				
	5	3	6	0	4	6	1	11/01/94	Turnland				
	5	4	7	4	5	6	3	12/12/95	Myler et al.				
	5	4	7	6	5	0	5	12/19/95	Limon				
	5	4	9	4	0	2	5	02/27/96	Lane et al.				
	5	5	3	4	0	0	7	07/09/96	St. Germain et al.				
	5	5	7	1	1	3	5	11/05/96	Fraser et al.				
	5	6	0	3	6	9	8	02/18/97	Roberts et al.				
	5	6	1	1	3	5	5	03/18/97	Hilsen				
<b>FOREIGN PATENT DOCUMENTS</b>													
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	5	6	3	2	7	7	1						
	05/27/97	Boatman et al.											
	07/01/97	Parks											
	07/01/97	Myler et al.											
	07/22/97	Alvarez et al.											
	09/09/97	Linder											
	11/04/97	Meade											
	11/04/97	Lenker et al.											
	01/20/98	Lukic et al.											
	02/17/98	Muchin											
	04/14/98	Edwards											
	06/30/98	Summers et al.											
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	5	7	7	6	1	4	7	07/07/98	Gunderson				
	5	7	9	1	3	4	1	08/11/98	Bullard				
	5	7	9	7	3	5	2	08/25/98	Klein				
	5	8	0	0	3	7	9	09/01/98	Edwards				
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	5	8	3	6	8	6	6	11/17/98	St. Germain				
	5	8	6	8	1	3	6	02/09/99	Halstrom				
	5	8	9	3	3	6	5	04/13/99	Anderson				
	5	8	1	1	7	5	2	06/15/99	Dustrude et al.				
	5	3	5	0	6	7	4	09/14/99	Hart				
	5	3	5	4	0	9	5	09/21/99	Christopher				
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	5	9	7	0	9	7	5	10/26/99	Estes et al.				
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	5	9	8	8	1	7	0	11/23/99	Thomas				
	6	0	0	4	3	4	2	12/21/99	Filis				
	6	0	1	9	7	7	9	02/01/00	Thorud et al.				
	6	0	7	2	3	4	3	02/08/00	Johnson et al.				
	6	0	2	7	5	1	6	02/22/00	Kolobow et al.				
	6	0	2	7	8	6	3	02/22/00	Donadio III				
	6	0	3	3	3	9	4	03/07/00	Vidlund et al.				
	6	0	5	8	9	3	1	05/09/00	Muchin				
	6	0	9	0	1	1	5	07/18/00	Beyar et al.				
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6 0	9	2	5	2	3		07/25/00	Belfer					
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6 1	0	6	5	4	1		08/22/00	Hurbis					
6 1	1	0	1	6	4		08/29/00	Vidlund					
6 1	2	3	0	8	2		09/26/00	Berthon-Jones					
6 1	2	6	6	1	1		10/03/00	Bourgeois et al.					
6 1	2	6	6	5	7		10/03/00	Edwards					
6 1	2	9	0	8	4		10/10/00	Bergersen					
6 1	3	2	4	6	0		10/17/00	Thompson					
6 1	3	2	4	6	1		10/17/00	Thompson					
6 1	6	1	5	4	1		12/19/00	Woodson					
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	6	1	6	1	5	4	2	12/19/00	Halstrom			
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	6	1	8	3	4	9	3	02/06/01	Zammit			
	6	2	3	8	4	1	1	05/29/01	Thorner			
	6	2	3	8	4	3	0	05/29/01	Klumb et al.			
	6	2	4	8	1	2	2	06/19/01	Klumb et al.			
	6	2	5	0	3	0	7	06/26/01	Conrad et al.			
	6	2	5	4	6	3	1	07/03/01	Thompson			
	6	2	5	7	2	3	6	07/10/01	Dutkiewicz			
	6	2	7	0	5	1	2	08/07/01	Rittmann			
	6	2	7	3	8	7	6	08/14/01	Klima et al.			
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	6	3	0	5	3	7	6	10/23/01	Thornton				
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	6	3	4	5	2	6	2	02/05/02	Richmond et al.				
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	6	3	6	3	9	3	5	04/02/02	Boussignac				
	6	3	7	1	1	1	2	04/16/02	Bibi				
	6	3	7	1	9	5	3	04/16/02	Beyar et al.				
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	6	4	8	8	7	0	0	12/03/02	Klumb et al.			
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